



RECEIVED  
OCT 23 2002  
SEP 20 2002  
1615 \$

TECH CENTER 1600/2000

Express Mail No: EL 500 575 992 US

9/30/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Hope et al.

Serial No.: 09/992,107

Group Art Unit: 1615

Filed: November 5, 2001

Examiner: Kishore, Gollamudi S.

For: LIPOSOME COMPOSITIONS AND  
METHODS FOR THE TREATMENT  
OF ATHEROSCLEROSIS

Attorney Docket No.: 10173-072-999

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.56 AND § 1.97**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Applicants hereby direct the Examiner's attention to the references listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant."

Identification of the listed references is not to be construed an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith several pages of a "revised form PTO 1449" entitled

09/25/2002 NMDHAMM1 00000041 161150 09992107

01 FC:126 180.00 CH

NY2 - 1342507.2



RECEIVED  
SEP 26 2002  
TECH CENTER 1600/2900

"List of References Cited by Applicant" instead of "List of Prior Art Cited." Copies of References HJ-HN are being submitted herewith.

Pursuant to 37 C.F.R. § 1.97(c), it is believed that a fee is due in the amount of \$180.00 with the filing of this Information Disclosure Statement. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. No other fee is believed to be due. However, if any other fee is due please charge the required fee to the above-mentioned Deposit Account number.

Applicant respectfully requests that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Respectfully submitted,

Anthony M Insogna Reg. No. 35,203  
by Brian C Remy Reg. No. 48,176  
35,203

Date: September 20, 2002

Anthony M. Insogna (Reg. No.)

**PENNIE & EDMONDS LLP**  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosure